

---

# Duchenne Muscular Dystrophy and Related Dystrophinopathies: Developing Drugs for Treatment Guidance for Industry

## *DRAFT GUIDANCE*

**This guidance document is being distributed for comment purposes only.**

Comments and suggestions regarding this draft document should be submitted within 60 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <http://www.regulations.gov>. Submit written comments to the Division of Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number listed in the notice of availability that publishes in the *Federal Register*.

For questions regarding this draft document contact Colleen Locicero 301-796-1114.

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

**June 2015  
Clinical/Medical**

# Duchenne Muscular Dystrophy and Related Dystrophinopathies: Developing Drugs for Treatment Guidance for Industry

*Additional copies are available from:*

*Office of Communications, Division of Drug Information  
Center for Drug Evaluation and Research  
Food and Drug Administration  
10001 New Hampshire Ave., Hillandale Bldg., 4th Floor  
Silver Spring, MD 20993-0002*

*Tel: 855-543-3784 or 301-796-3400; Fax: 301-431-6353; Email: druginfo@fda.hhs.gov  
<http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>*

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)**

**June 2015  
Clinical/Medical**

## TABLE OF CONTENTS

<b>I.</b>	<b>INTRODUCTION.....</b>	<b>1</b>
<b>II.</b>	<b>BACKGROUND .....</b>	<b>2</b>
<b>III.</b>	<b>DEVELOPMENT PROGRAM.....</b>	<b>3</b>
<b>A.</b>	<b>General Considerations .....</b>	<b>3</b>
1.	<i>Early Phase Clinical Development Considerations .....</i>	3
2.	<i>Drug Development Population.....</i>	4
3.	<i>Efficacy Considerations .....</i>	4
4.	<i>Safety Considerations.....</i>	4
<b>B.</b>	<b>Specific Efficacy Trial Considerations .....</b>	<b>5</b>
1.	<i>Study Design.....</i>	5
2.	<i>Study Population .....</i>	5
3.	<i>Efficacy Endpoints.....</i>	6
4.	<i>Study Procedures and Timing of Assessments.....</i>	9
5.	<i>Endpoint Adjudication.....</i>	9
6.	<i>Statistical Considerations.....</i>	10
7.	<i>Accelerated Approval (Subpart H) Considerations.....</i>	10
8.	<i>Benefit-Risk Considerations.....</i>	11
<b>C.</b>	<b>Other Considerations .....</b>	<b>12</b>
1.	<i>Relevant Nonclinical Safety Considerations .....</i>	12
2.	<i>Pharmacokinetic/Pharmacodynamic Considerations .....</i>	12
3.	<i>Labeling Considerations .....</i>	13

1      **Duchenne Muscular Dystrophy and Related Dystrophinopathies:**  
2      **Developing Drugs for Treatment**  
3      **Guidance for Industry<sup>1</sup>**

7      This draft guidance, when finalized, will represent the current thinking of the Food and Drug  
8      Administration (FDA or Agency) on this topic. It does not create any rights for any person and is not  
9      binding on FDA or the public. You can use an alternative approach if it satisfies the requirements of the  
10     applicable statutes and regulations. To discuss an alternative approach, contact the FDA staff responsible  
11     for this guidance as listed on the title page.

14     **I. INTRODUCTION**

15     The purpose of this guidance is to assist sponsors in the clinical development of drugs for the  
16     treatment of Duchenne muscular dystrophy (DMD) and related dystrophinopathies including  
17     Becker muscular dystrophy (BMD), DMD-associated dilated cardiomyopathy (DCM), and  
18     symptomatic carrier states in females.<sup>2</sup> Specifically, this guidance addresses FDA's current  
19     thinking regarding the clinical development program and clinical trial designs for drugs to  
20     support an indication for the treatment of one or more of these dystrophinopathies. The most  
21     prominent pathology in dystrophinopathies is degeneration of skeletal and cardiac muscle  
22     leading to progressive loss of muscle function, respiratory and cardiac failure, and premature  
23     death. This draft guidance is intended to serve as a focus for continued discussions among the  
24     Division of Neurology Products, pharmaceutical sponsors, the academic community, and the  
25     public.<sup>3</sup> This guidance does not address the development of drugs to treat secondary  
26     complications of muscle degeneration in dystrophinopathies (e.g., drugs specifically for heart  
27     failure or for pulmonary infections).

---

<sup>1</sup> This guidance has been prepared by the Division of Neurology Products in the Center for Drug Evaluation and Research (CDER) at the Food and Drug Administration.

<sup>2</sup> For the purposes of this guidance, all references to *drugs* include both human drugs and therapeutic biological products unless otherwise specified.

<sup>3</sup> In addition to consulting guidances, sponsors are encouraged to contact the division to discuss specific issues that arise during the development of drugs for the treatment of dystrophinopathies.

**Contains Nonbinding Recommendations**

Draft — Not for Implementation

33 Development of this guidance was preceded by the submission to FDA of a proposed draft  
34 guidance independently prepared by a consortium of stakeholders including patients, parents and  
35 caregivers, clinicians, scientific experts, and industry representatives. The proposed draft  
36 guidance submitted by the consortium was made available through a *Federal Register* notice  
37 seeking public comment. Both the independently prepared proposed draft guidance and the  
38 public comments received in response to the *Federal Register* notice were considered in writing  
39 this guidance.<sup>4</sup>

40  
41 This guidance does not contain discussion of the general issues of statistical analysis or clinical  
42 trial design. Those topics are addressed in the ICH guidances for industry *E9 Statistical*  
43 *Principles for Clinical Trials* and *E10 Choice of Control Group and Related Issues in Clinical*  
44 *Trials*, respectively.<sup>5</sup>

45  
46 In general, FDA's guidance documents do not establish legally enforceable responsibilities.  
47 Instead, guidances describe the Agency's current thinking on a topic and should be viewed only  
48 as recommendations, unless specific regulatory or statutory requirements are cited. The use of  
49 the word *should* in Agency guidances means that something is suggested or recommended, but  
50 not required.

51  
52 **II. BACKGROUND**

53 Dystrophinopathies result from genetic mutations in the dystrophin gene that decrease the  
54 amount of dystrophin and/or cause dysfunction of the dystrophin protein. This dysfunction leads  
55 to muscle degeneration and, in many patients, downstream pathologies including inflammation  
56 and fibrosis that interfere with muscle regeneration and cause loss of movement, orthopedic  
57 complications, and, ultimately, respiratory and cardiac failure. The most common  
58 dystrophinopathy is DMD, with a birth prevalence of about 1 in 3,500 to 6,000 males. DMD  
59 generally has the most severe phenotype of the dystrophinopathies, with failure to reach  
60 developmental milestones, functional losses in the first decade of life, and greatly decreased life  
61 expectancy. BMD is similar to DMD but generally has later onset of symptoms and slower  
62 progression. BMD is characterized by wide interpatient variability in severity, with a clinical  
63 course resembling DMD in some patients, while others remain nearly, or in some cases  
64 completely, asymptomatic. The birth prevalence of BMD is about 1 in 20,000 males. DCM is  
65 caused by dystrophin mutations that primarily affect cardiac muscle, and is even less common.  
66 Finally, some female carriers of dystrophin mutations experience muscle degeneration similar to  
67 that in males.

68  
69  
70  
71

---

<sup>4</sup> See 79 FR 52732, September 4, 2014.

<sup>5</sup> We update guidances periodically. To make sure you have the most recent version of a guidance, check the FDA Drugs guidance Web page at <http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>.

72      **III. DEVELOPMENT PROGRAM**

73      **A. General Considerations**

74      **1. Early Phase Clinical Development Considerations**

75      For a variety of reasons, communication about issues associated with both drug safety and  
76      efficacy between drug developers and those affected by dystrophinopathies is important during  
77      the development of drugs for these conditions.

- 78      • FDA recognizes that those affected by life-threatening and severely debilitating illnesses  
79      with unmet medical need are generally willing to accept greater risks and greater  
80      uncertainty about risks.<sup>6</sup> Nonetheless, it is important that drug developers understand  
81      from affected individuals how treatment goals and risk tolerance are related to specific  
82      patient circumstances, such as age, disease stage, and phenotype, among others. For  
83      example, tolerance for risk may differ between patients with the more severe  
84      dystrophinopathy phenotypes and those with less severe phenotypes. As development  
85      proceeds and the potential benefits and risks of a drug become more clearly understood,  
86      input from patients and caregivers should be further elicited.
- 87      • Many patients with dystrophinopathies are children. Special ethical considerations apply  
88      to the conduct of studies in children and the types and contexts of risks that are  
89      considered acceptable.<sup>7</sup> Within the bounds of these ethical considerations, even if risk of  
90      serious or irreversible harm is possible, drug development studies may be allowed to  
91      proceed under FDA's regulatory framework if the risks are not "unreasonable" in the  
92      context of the seriousness of the disease phenotype.<sup>8</sup> However, patients and caregivers  
93      can make appropriate decisions about participation in clinical trials only if provided with  
94      clear information about potential risks and benefits. In addition to informed consent  
95      based on information available at the beginning of the study, it is critical that emerging  
96      safety information be communicated rapidly to study patients and their caregivers on an  
97      ongoing basis to allow them to reassess continued participation.
- 98      • Treatment goals similarly may differ depending on patient-specific circumstances such as  
99      age and disease stage, and those patients most affected by the disease can provide insight  
100     into the outcomes that are most appropriate to designate as primary endpoints, how best  
101     these outcomes might be assessed, as well as the overall effect of a treatment on the  
102     disease.

---

<sup>6</sup> 21 CFR 312.80, subpart E

<sup>7</sup> 21 CFR part 50, subpart D

<sup>8</sup> 21 CFR 312.42

***Contains Nonbinding Recommendations***

*Draft — Not for Implementation*

110        2.     *Drug Development Population*

111  
112      There is a need to understand the safety and efficacy of investigational drugs for  
113      dystrophinopathies across disease stages and phenotypes. Patients should not be unnecessarily  
114      excluded from enrollment based on characteristics such as age or disease stage unless  
115      scientifically justified. Broad inclusion criteria might also allow more rapid study enrollment,  
116      thereby accelerating drug development. Demonstrating safety and efficacy of an investigational  
117      drug generally involves several stages of development and a number of clinical trials, increasing  
118      the feasibility of including patients across different disease stages and phenotypes.

119  
120      There is a strong rationale for treatment of patients at an early age because drugs that preserve  
121      muscle, in particular, may have the greatest effect on prognosis before muscle health has  
122      deteriorated. However, there is also a need to understand safety and efficacy of drugs at later  
123      stages of disease, including stages when respiratory and cardiac pathology is more pronounced.

124  
125        3.     *Efficacy Considerations*

126  
127      The statutory standards for effectiveness apply to drugs for dystrophinopathies just as they do for  
128      all other drugs. FDA has long stressed, however, that it is appropriate to exercise flexibility in  
129      applying the statutory standards to drugs for serious diseases with important unmet needs, while  
130      preserving appropriate guarantees for safety and effectiveness.<sup>9</sup>

131  
132        4.     *Safety Considerations*

133  
134      Trials in dystrophinopathies generally should be conducted under the oversight of a safety  
135      assessment committee (SAC) with access to real-time unblinded safety data. The SAC should  
136      look at frequent intervals for emerging safety signals and, if necessary, take appropriate  
137      measures to ensure that patients are not placed at unreasonable risk of harm.<sup>10</sup>

138  
139      To support marketing approval, drug safety must be supported by an adequate number and  
140      duration of patient exposures to characterize drug risks.<sup>11</sup> FDA generally will consider the  
141      serious and life-threatening nature of DMD and other severe dystrophinopathies when  
142      determining the minimum number and duration of patient exposures needed.<sup>12</sup> Drugs shown to  
143      provide an important benefit may need less safety data to provide adequate assurance that risks  
144      are commensurate with benefits. During development, as much safety data as possible should  
145      be collected from patients across the spectrum of disease stages and severities, including,  
146      whenever possible, data from patients who may not have been included in efficacy studies but

---

<sup>9</sup> 21 CFR 312.80, subpart E, Drugs Intended to Treat Life-Threatening and Severely-Debilitating Illnesses

<sup>10</sup> See the guidance for clinical trial sponsors *Establishment and Operation of Clinical Trial Data Monitoring Committees*.

<sup>11</sup> 21 CFR 314.125(b)(2)

<sup>12</sup> 21 CFR 314.105(c); FDA is required to exercise its scientific judgment to determine the kind and quantity of data and information a sponsor is required to provide for a particular drug to meet the statutory standards.

**Contains Nonbinding Recommendations**

*Draft — Not for Implementation*

147 in whom, based on other data, it could be possible to conclude that the drug might be effective.  
148 Safety data from a reasonable number of patients exposed to the drug for at least 1 year  
149 generally is appropriate to support approval of drugs intended for chronic use in treating DMD  
150 and other severe dystrophinopathies.

151  
152 Adverse events of special interest for drugs for the treatment of dystrophinopathies include  
153 exacerbation of autoimmunity to dystrophin or other muscle components. Exacerbation of  
154 cardiac disease may be a concern for drugs that increase physiological stress on the heart by  
155 increasing the amount or activity of skeletal muscle, or for drugs that could directly affect  
156 cardiac dystrophin.

157  
158       **B. Specific Efficacy Trial Considerations**

159  
160        *1. Study Design*

161 Randomized placebo-controlled trials are strongly recommended, and generally are the most  
162 efficient way to demonstrate efficacy for drugs for dystrophinopathies. In some circumstances,  
163 however, trials using external controls, such as historically controlled trials, may be considered  
164 adequate and well-controlled, and may provide or contribute to evidence of efficacy to support  
165 approval. However, it should be noted that historically controlled trials lack important design  
166 features that reduce bias, such as randomization and masking of treatment assignment, and  
167 generally are persuasive only when drug effects are large on objective endpoints that are less  
168 susceptible to bias.<sup>13</sup> For externally controlled studies to be persuasive, detailed evidence should  
169 be presented that the study design and conduct adequately controlled for bias. For example, it  
170 would be critical to establish that the control group was well-matched across key baseline and  
171 prognostic variables, including age, baseline value of the primary efficacy measure and other  
172 measures of disease stage, type and intensity of supportive care, dose and duration of  
173 corticosteroid or other concomitant pharmacotherapy, and genotype, among others. Potential  
174 sources of bias, such as differences in encouragement during tests of physical performance or  
175 function, should be minimized. Again, because of the inherent limitations of externally  
176 controlled trials, treatment effects must be large to be interpretable.

177  
178 Typically, drug development programs for dystrophinopathies should include early phase studies  
179 that are generally short in duration. Such studies may evaluate effects of drugs on biomarkers, to  
180 identify doses that are most likely to be effective and that are sufficiently tolerated to be studied  
181 in definitive trials of longer duration.

182  
183        *2. Study Population*

184 Although there is a need to understand safety and efficacy of investigational drugs for  
185 dystrophinopathies across disease stages and phenotypes, drug development can be targeted to an  
186 identified disease subgroup when scientifically justified (e.g., drugs that are directed at specific  
187 dystrophin mutations). Similarly, enrollment can be based on early biomarker data that suggest

---

<sup>13</sup> See ICH E10.

***Contains Nonbinding Recommendations***

*Draft — Not for Implementation*

190 clinical benefit is likely to occur in only a subset of patients that are identified using that  
191 biomarker.

192  
193 For drugs that may reduce further clinical decline, but are not expected to improve or reverse  
194 preexisting muscle dysfunction, it may be useful to consider prognostic enrichment (i.e., the use  
195 of inclusion criteria to select patients with characteristics that predict a sufficient degree of  
196 clinical decline during the planned study). Such criteria might include a history of rapid  
197 deterioration before study entry, or more severe functional deficit at enrollment.

198  
199 For drugs targeted to specific mutations, sponsors need to identify accurately the dystrophin  
200 mutation(s) of each patient for enrollment. Even for drugs intended to have mutation-  
201 independent efficacy, such testing is strongly encouraged, as knowledge of genotype-phenotype  
202 correlations may reveal differences in safety and efficacy across subgroups. For similar reasons,  
203 genotyping additional loci that modify phenotype is strongly encouraged.

204  
205 For drugs in which efficacy or safety may be related to the patient's specific dystrophin mutation  
206 or to another type of finding related to a biomarker, a companion diagnostic device should be  
207 developed contemporaneously, with the clinical performance and clinical significance of the  
208 diagnostic device established using data from the clinical development program of the drug.  
209 However, given the serious and life-threatening nature of dystrophinopathies and the lack of  
210 satisfactory alternative treatments that currently exist, FDA may approve a drug even if a  
211 companion diagnostic device is not yet approved or cleared if the benefits from the use of the  
212 drug are so pronounced as to outweigh the risks from the lack of an approved or cleared in vitro  
213 companion diagnostic device.<sup>14</sup> This will be determined by FDA during product review.

214  
215       3.     *Efficacy Endpoints*

216  
217 There is no defined set of required or recommended clinical outcome measures for studies in  
218 dystrophinopathies. Although existing outcome measures that have been developed for clinical  
219 trials and/or clinical care in dystrophinopathies or related conditions may be appropriate, FDA  
220 will also consider proposals for the use of new outcome measures that are capable of measuring  
221 clinically meaningful effects in patients. Sponsors are encouraged to propose, and, if necessary,  
222 develop, endpoints that can be used to validly and reliably assess patients with a wide spectrum  
223 of symptoms and disease stages. FDA should be engaged by a sponsor early during the selection  
224 and/or development of efficacy endpoints. Assessment of multiple efficacy endpoints should be  
225 included when feasible, to characterize the breadth of effects on dystrophin-related pathologies,  
226 including skeletal, respiratory, and cardiac muscle function, even if the study primary endpoint is  
227 only one of these measures.

228  
229 Efficacy endpoints that can measure change of function over a wide range of deficits may offer a  
230 number of advantages in the development of drugs for dystrophinopathies. Such endpoints may  
231 increase the number of patients eligible for enrollment, and may decrease possible loss of  
232 information from *floor* and *ceiling* effects that occur, respectively, if a patient becomes unable to

---

<sup>14</sup> See the guidance for industry and Food and Drug Administration staff *In Vitro Companion Diagnostic Devices* (<http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm081752.htm>).

**Contains Nonbinding Recommendations**

Draft — Not for Implementation

233 contribute data because he or she cannot complete a function, or remains fully capable of  
234 performing a function throughout the study time period. Endpoints that can assess function  
235 across different stages of the disease, for example, by combining measures of ambulation and  
236 upper body function, are encouraged for similar reasons. Endpoints should have the ability to  
237 detect *improvement* from baseline, as well as decline, to capture the spectrum of possible  
238 beneficial drug effects.

239

240 Patient-reported outcomes (PROs),<sup>15</sup> including those measuring activities of daily living, can be  
241 designed to assess the abilities and experiences of patients across a spectrum of disease stages  
242 and severities. PROs can be useful to assess the clinical meaningfulness of an objective finding  
243 of relatively small magnitude, and to contribute to assessments of benefit and risk. PRO  
244 instruments for dystrophinopathies generally should include a limited number of items that  
245 assess the most important aspects of the outcome of interest (e.g., specific aspects that contribute  
246 to health-related quality of life, such as physical functioning). PRO instruments that are too  
247 lengthy may increase responder burden and the potential for missing data. PRO instruments that  
248 are overly broad can be difficult to interpret and may be insensitive to meaningful change in the  
249 outcomes of major interest. In cases where patients are unable to report for themselves (e.g.,  
250 young children), observer-reported outcomes should be based on what a caregiver or other  
251 observer directly observes during a patient's daily activities.

252

253 Efficacy endpoints based on function can be measured in a variety of ways, including  
254 performance-based outcome assessments that demonstrate the patient's ability to perform a  
255 specific activity or set of activities (e.g., ability to perform the activity(ies) (yes or no); or time  
256 required to perform the activity(ies)), or as time-to-event for decline or loss of an ability. For  
257 young children in whom abilities are still developing, it may be appropriate to assess time-to-  
258 event in the context of reaching a certain developmental milestone.

259

260 Additional considerations for endpoints include the following:

261

- 262 • In neonates, infants, and young children up to age 4, developmental scales have been  
263 used in DMD (e.g., the Griffiths Scale of Mental Development or the Bayley Scales of  
264 Infant and Toddler Development, Third Edition). However, the appropriateness of the  
265 use of such scales in clinical trials should be discussed and agreed upon with FDA.
- 266
- 267 • In ambulatory children from ages 4 to 7 years, the North Star Ambulatory Assessment  
268 can be a useful measure of gross motor function, as are timed function tests such as time  
269 to climb 4 stairs or time to walk or run 10 meters, among others.
- 270
- 271 • Myometry may be an appropriate endpoint for treatments that increase or preserve  
272 muscle strength, and can be reliably measured in children ages 5 years and older. The  
273 clinical meaningfulness of differences in muscle strength should be supported by the  
274 magnitude of the effect observed, or by the demonstration of a drug effect on an adequate

---

<sup>15</sup> A PRO is a measurement based on a report that comes directly from the patient (i.e., study subject) about the status of a patient's health condition without amendment or interpretation of the patient's response by a clinician or anyone else.

**Contains Nonbinding Recommendations**

Draft — Not for Implementation

275 functional measure. In some instances, a demonstrated effect on muscle strength also can  
276 be considered as an *intermediate endpoint*, and used to support accelerated approval.<sup>16</sup>  
277

- 278 • The 6-minute walk test (6MWT), or shorter versions such as the 2-minute walk test, can  
279 measure both strength and endurance, and can be appropriate for patients as young as 5 or  
280 6 years old. A challenge of use of these tests in older patients is the floor effect of losing  
281 ambulation. The aggregated data analysis of change in 6MWT is strongly influenced by  
282 the inclusion or exclusion of patients who lose ambulation during the trial, which leads to  
283 zero values.
- 284
- 285 • For older nonambulatory patients, a number of outcome measures are available that  
286 measure primarily upper extremity function.

287

288 Many functional endpoints in dystrophinopathies can include tasks performed by a patient in a  
289 clinical setting according to instructions administered by a health care professional. Such  
290 endpoints can be affected by the effort of the patient, and/or coaching or encouragement by a  
291 family member, caregiver, or medical staff, and should be measured using procedures that  
292 minimize such bias. For example, encouragement given to patients during testing should be  
293 standardized, and whenever practicable, study personnel who are not aware of clinical course or  
294 potentially unmasking adverse events should be used to administer functional endpoints.

295

296 Efficacy in dystrophinopathies can also be demonstrated by an effect on respiratory and/or  
297 cardiac endpoints, with the following considerations:

- 298
- 299 • Specific clinical respiratory outcomes can include nocturnal desaturations, obstructive  
300 sleep apnea, aspiration pneumonia, and progression to mechanically assisted ventilation.  
301 Additional measures of respiratory function such as vital capacity, maximal inspiratory  
302 pressure, and maximal expiratory pressure, can provide additional assessment of  
303 respiratory muscle function. As with myometry, the clinical meaningfulness of  
304 differences in these additional measures should be supported by the magnitude of the  
305 effect observed, or by the demonstration of a drug effect on an adequate functional  
306 measure. In some instances, a demonstrated effect on these additional measures also can  
307 be considered as an intermediate endpoint, and used to support accelerated approval.
- 308
- 309 • Evidence of effectiveness in chronic heart failure has traditionally relied on randomized,  
310 double-blind clinical trials in adult patients with documented heart failure and/or left  
311 ventricular dysfunction caused by common etiologies such as ischemic heart disease,  
312 hypertension, or myocarditis. Most of these trials have been designed to detect outcomes  
313 such as improved survival or a composite of improved survival and decrease in heart  
314 failure hospitalizations. These trials have not used improved exercise capacity alone as  
315 an endpoint, at least in part because heart failure treatments that have improved exercise  
316 capacity have had adverse effects on survival. A treatment for DMD that was directed at

---

<sup>16</sup> See the guidance for industry *Expedited Programs for Serious Conditions — Drugs and Biologics*.

**Contains Nonbinding Recommendations**

*Draft — Not for Implementation*

317 the underlying disease pathology might pose fewer such concerns, so that improved  
318 exercise capacity alone could be considered an appropriate endpoint.  
319

- 320 • Natural history data for patients with DMD cardiomyopathy are currently limited,  
321 increasing the difficulty of developing measures that might predict disease progression or  
322 serve as surrogate endpoints. FDA recommends that, whenever feasible, sponsors collect  
323 the following cardiac data during clinical trials: periodic evaluation of signs and  
324 symptoms of cardiac involvement or heart failure that are appropriate for the age and  
325 disease stage of the study population, inventory of cardiac medications, serial  
326 electrocardiograms, and serial imaging studies (e.g., echocardiography or cardiac  
327 magnetic resonance imaging).

328  
329 Dystrophin is expressed in the brain, and dystrophinopathies can be associated with cognitive  
330 and behavioral effects. Although many drugs that affect behavior would not be considered  
331 dystrophinopathy-specific (e.g., drugs for attention deficit hyperactivity disorder), drugs could be  
332 approved for dystrophinopathies if a specific beneficial effect on the nervous system were  
333 demonstrated (i.e., the benefit would not be expected to occur in patients without dystrophin  
334 mutations).

335  
336       4. *Study Procedures and Timing of Assessments*

337  
338 Drugs that will be chronically administered in dystrophinopathies should be shown to be  
339 effective for a period of at least 3 months. For drugs expected to slow functional decline, study  
340 length necessarily is affected by rate of progression in addition to predicted drug efficacy.  
341 Although studies of 1 year's duration have been conducted in DMD, the duration of studies  
342 should be based on scientifically justifiable sample size calculations that include, when  
343 appropriate, the predicted rate of functional decline in the placebo group, the anticipated effect  
344 size, the variability around these estimates, and the desired statistical power. Efficacy studies of  
345 18 to 24 months' duration may substantially increase statistical power, while only modestly  
346 increasing overall development time.

347  
348       5. *Endpoint Adjudication*

349  
350 Blinded adjudication of cardiac endpoints has commonly been used in studies of cardiovascular  
351 drugs and should be considered if cardiac endpoints, such as heart failure or cardiac  
352 hospitalizations, are used. Adjudication should also be considered for complex respiratory  
353 endpoints, such as aspiration pneumonia, because equivocal cases may occur. Functional  
354 endpoints, such as the ability to rise from the floor or to walk, potentially may benefit from  
355 adjudication to address potential confounders such as reversible injury.

***Contains Nonbinding Recommendations***

*Draft — Not for Implementation*

357        6.     *Statistical Considerations*

358

359     In general, statistical approaches for dystrophinopathies should be similar to those used in other  
360     disease areas, as described in other guidances. Sponsors can use designs that increase the  
361     efficiency of studies (e.g., adaptive designs<sup>17</sup>).

362

363     For efficacy assessment based on a continuous measurement of functional capacity, statistical  
364     analyses generally should be performed on the change from baseline for each treatment group,  
365     with the treatment effect assessed by comparing the mean changes between the treatment and  
366     control groups at one or more specific times. The mean changes would normally be adjusted for  
367     the baseline measurement to improve statistical power for detecting a treatment effect.

368

369     Variability can be decreased by obtaining a baseline assessment on more than one occasion, if  
370     practicable (e.g., performing a 6MWT on two occasions, 1 week apart). For studies that require  
371     a specific degree of physical disability for enrollment (e.g., a 6MWT distance of less than 350  
372     meters), the screening assessment used to qualify patients for study entry should not be used as  
373     the baseline assessment. A separate baseline assessment should be obtained subsequent to the  
374     screening assessment to avoid regression to the mean. For dystrophinopathies, sponsors can also  
375     consider a variation of this approach that assesses the change from baseline in the slopes (or rates  
376     of change). Whereas the typical change from baseline assessment takes only two measurements  
377     into consideration (pretreatment and post-treatment at a particular time point), assessment of  
378     slope change takes multiple measurements into consideration for each patient, thereby possibly  
379     improving statistical power to show a treatment difference.

380

381     The likelihood that randomization will be fully successful in producing comparable study arms  
382     can be increased through stratified enrollment based on one or more prognostic factors. For  
383     young children, stratification might be based on markers of lower-limb strength or ambulatory  
384     abilities, whereas for older children, pulmonary and cardiac status might be appropriate  
385     stratification factors. With small to moderate sample sizes, however, such covariates should be  
386     limited to a few that are carefully chosen.

387

388        7.     *Accelerated Approval (Subpart H) Considerations*

389

390     In dystrophinopathies, biomarkers that reliably reflect the health and amount of skeletal muscle  
391     at a biochemical, cellular, or tissue level may be useful across the drug development process,  
392     including use as prognostic, predictive, pharmacodynamic, or, in some instances, if supported by  
393     sufficient scientific evidence and acceptable analytical methods, as surrogate endpoints to  
394     support accelerated approval. A single biomarker measure can, in different circumstances, serve  
395     different functions; for example, baseline dystrophin expression can be a marker of a patient's  
396     prognosis, whereas an increase in dystrophin could reflect biological activity of a drug, and guide  
397     key aspects of drug development such as dose selection and route of administration. Even if it  
398     cannot be concluded that a given biomarker can serve as a surrogate endpoint, positive findings  
399     based on a biomarker may help support the mechanism of action of a drug, help to identify the

---

<sup>17</sup> See the draft guidance for industry *Adaptive Design Clinical Trials for Drugs and Biologics*. When final, this guidance will represent the FDA's current thinking on this topic.

***Contains Nonbinding Recommendations***

*Draft — Not for Implementation*

400 appropriate patient population to study or treat, or support the validity of findings on other  
401 endpoints. To support continued progress in overall drug development for dystrophinopathies, it  
402 is important that trials with clinically meaningful endpoints include as many biomarkers as  
403 feasible to help establish the correlation between such biomarkers and clinical endpoints.

404  
405 The potential for a biomarker to predict clinical benefit in dystrophinopathies is inseparable from  
406 such factors as the magnitude of change of the biomarker and tissue in which the biomarker is  
407 measured. The meaning of a change in a biomarker might also depend on the age or disease  
408 stage of a patient, or on other patient factors such as inflammation or autoimmunity to dystrophin  
409 or other muscle components. Before studies are undertaken, sufficient analytical validity should  
410 be demonstrated, and as studies are conducted, there should be adequate assessment of the  
411 performance characteristics of the biomarker assay, including quality-control measures and  
412 documentation of results.

413  
414 Deficiency of functional dystrophin appears to be the proximate cause of the symptomatic and  
415 functional consequences of dystrophinopathies, justifying particular interest in dystrophin as a  
416 biomarker, and potential surrogate endpoint for accelerated approval, and in drugs that increase  
417 functional dystrophin and/or other proteins with similar or related functions (e.g., utrophin,  
418 truncated dystrophin).

419  
420 Sponsors are also encouraged to consider the use of other biomarkers, such as those measured  
421 with magnetic resonance imaging or magnetic resonance spectroscopy. Advantages of imaging  
422 include its noninvasiveness, its ability to assess large samples of muscle, the fact that it can be  
423 performed repeatedly at multiple time points, and its ability to assess multiple regions of the  
424 body, including cardiac muscle.

425  
426 In some situations, accelerated approval can be based on an intermediate clinical endpoint (e.g.,  
427 myometry and certain respiratory measures, discussed above). An intermediate clinical endpoint  
428 is a measurement of a therapeutic effect that can be measured earlier than an effect on  
429 irreversible morbidity or mortality (IMM) and is considered reasonably likely to predict the  
430 drug's effect on IMM or other clinical benefit. Approvals based on such intermediate clinical  
431 endpoints will be considered under the accelerated approval pathway, rather than receiving full  
432 approval, only when it is essential to determine effects on IMM or other clinical benefit to  
433 confirm the predicted clinical benefit that led to approval. Sponsors considering a development  
434 program for accelerated approval based on an intermediate clinical endpoint should discuss their  
435 development program with the Division of Neurology Products early in drug development.

436  
437       8.     *Benefit-Risk Considerations*

438  
439 When making regulatory decisions regarding drugs for dystrophinopathies, FDA will consider  
440 patient and caregiver tolerance for risk, and the serious and life-threatening nature of these  
441 conditions. Patients and caregivers may be willing to tolerate substantial risk of harm if a drug  
442 might delay loss of important abilities such as ambulation. However, tolerance for risk may  
443 vary among individuals, and be affected by disease stage and severity; FDA would consider this  
444 heterogeneity in regulatory decisions.

445

**Contains Nonbinding Recommendations**

Draft — Not for Implementation

446 FDA considers the totality of the available evidence when conducting a benefit-risk assessment.  
447 For example, if the effect size on a sensitive measure of muscle function is modest for a drug  
448 with substantial risks, evidence of the clinical impact of the effect provided by PROs is likely to  
449 be an important basis of benefit-risk assessments.

450

**C. Other Considerations**

451

*1. Relevant Nonclinical Safety Considerations*

452

453 Nonclinical studies provide important information upon which it can be determined whether  
454 clinical trials are reasonably safe to conduct, and to inform clinical dose selection and  
455 monitoring. For serious and life-threatening diseases for which treatments are not available or  
456 are inadequate, as a general matter, it may be appropriate to permit clinical trials to commence  
457 based on less than usual nonclinical testing if scientifically justified. In certain cases, the  
458 duration of dosing in humans may exceed that of the nonclinical studies, if justified based on the  
459 available nonclinical and clinical data. Sponsors are encouraged to consult with the Division of  
460 Neurology Products early in clinical development.

461

462 Carcinogenicity studies generally can be conducted after approval for drugs intended to treat  
463 most dystrophinopathies.

464

*2. Pharmacokinetic/Pharmacodynamic Considerations*

465

466 Given the serious and life-threatening nature of diseases such as DMD and other severe  
467 dystrophinopathies, the typical array of clinical pharmacology testing is unlikely to be needed to  
468 support a new drug's approval. For example, studies of effects of renal or hepatic impairment  
469 potentially can be deferred until after approval, or even waived, if the patient population and  
470 metabolic pathways of the drug, considered together, suggest a low likelihood of clinically  
471 meaningful effects on pharmacokinetics or pharmacodynamics. Sponsors are encouraged to  
472 consult with the Division of Neurology Products early in clinical development.

473

474 The pharmacokinetic and/or pharmacodynamic interactions between an investigational new drug  
475 and other drugs commonly used in dystrophinopathies should be defined and evaluated as  
476 needed during drug development as part of an adequate assessment of the drug's safety and  
477 effectiveness. Concomitant use of supplements, herbals, and dietary modifications is common in  
478 dystrophinopathies, and effects on the pharmacokinetics and pharmacodynamics of  
479 investigational drugs should be considered.

480

481 Sponsors should explore the relationship between exposure (drug concentration in plasma or  
482 other biological fluid) and efficacy and safety endpoints collected from clinical studies.

483 Exposure-response relationships using biomarkers from early dose-finding studies can help  
484 identify dose/dosing regimen(s) for confirmatory studies and the need for dose adjustment for  
485 various extrinsic/intrinsic factors such as drug-drug interaction and age, among others.

486 Importantly, these relationships can also contribute to evidence of effectiveness from

487 confirmatory studies. The response variables used in the analyses should include prespecified

***Contains Nonbinding Recommendations***

*Draft — Not for Implementation*

491 primary and secondary endpoint(s), as well as results involving biomarkers collected in the  
492 studies for efficacy and safety.

493

494       3.     *Labeling Considerations*

495

496 Efficacy studies that enroll patients across disease stages and phenotypes are encouraged, and  
497 data from even a relatively small number of patients across different disease subgroups may help  
498 to support an indication that includes broader groups of patients. An indication narrowly  
499 restricted to the specific disease stage or phenotype enrolled in efficacy trials for  
500 dystrophinopathies is unlikely to be approved unless there is specific concern that the  
501 demonstrated effect may be limited to that particular group or that the risk is unacceptable in  
502 other groups.

503