

Exercise #1 Risk Identification at the Protocol Level

Instructions:

Using the protocol summary document provided, identify three (3) to five (5) potential risks for further evaluation at the protocol level.

Materials needed:

- Protocol Summary
- Lists of risks to consider

Output: 3 to 5 potential risks at the protocol level

1	
2	
3	
4	
5	

Lists of areas at the protocol level to consider:

- Investigational drug product
 - Manufacturing
 - Properties of the product
 - Labelling
 - Packaging
- Trial design and protocol specific requirements
 - Complexity of trial design
 - Trial population
 - Therapeutic area
 - Sample size calculation
 - Eligibility criteria
- Operational
 - Study budget
 - Development deadlines
 - Staffing
 - Study specific training
 - Study management
 - Clinical trial site selection and management
 - Contract research organization involvement
 - Clinical trial supply processes and management
 - Clinical site setup and infrastructure
 - Laboratory setup
 - Setup of trial databases
 - Site monitoring
 - Clinical data management
 - Reporting/communication lines